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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. A01073

MMB/kr

In re application of:  
Jane Elizabeth Weier, et al.  
Serial No.: 09/944,289  
Confirmation No.: 1887  
Filed: August 31, 2001  
For: Functional Liquid-Solid Additive  
Systems: Compositions,  
Processes, and Products Thereof  
X

Group Art: 1714

Examiner: Tae H. Yoon

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FEB 14 2003

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.97 and 1.98, applicants and their attorneys wish to make of record the following patents and patent references, copies of which are provided herewith, in connection with the above-identified application. The citation of the following patents and patent references should not be construed as an admission that any of them constitute statutory prior art relative to the present application.

U.S. Patents

3,864,432*	4,440,905*	5,534,594*
3,793,402*	4,469,825	5,599,854*
3,971,835*	4,539,361	5,726,259
4,040,996**	4,791,151	
4,385,152	5,276,092	

### Foreign References

Australian AU-A-48232-96\*  
European EP 0 025 561  
European EP 0 343 833  
European EP 0 439 427\*\*  
European EP 0 536 935\*\*  
European EP 0 810 241  
European EP 0 814 103  
European EP 0 826 702  
European EP 0 942 037\*\*  
European EP 1 033 390  
French FR 2 309 569  
German DE 197 26 802  
German DE 197 41 778\*  
International WO 94/06876  
International WO 01/48026

The above-listed patents and patent references that are designated with an asterisk (\*) are discussed in the specification of the present application. The above-listed patents and patent references that are designated with a double asterisk (\*\*) have been cited in a European Search Report issued in connection with applicants' corresponding European Application No. 01307440.6, filed August 31, 2001. A copy of the aforesaid European Search Report is enclosed herewith and provides an indication of the relevance of the patents and patent documents cited therein.

Applicants also take this opportunity to notify the Examiner of the existence of the following two co-pending, commonly assigned U.S. patent applications, which may be considered relevant to the present invention and copies of which are submitted herewith for the Examiner's convenience and consideration:

- (1) U.S. Serial No. 09/944,290  
Entitled: High Solids Polymeric Additive Systems:  
Compositions, Processes, and Products Thereof  
Filed: August 31, 2001  
(Atty Docket: A01071)
  
- (2) U.S. Serial No. 09/943,690  
Entitled: Multiple Polymeric Additive Systems:  
Compositions, Processes, and Products Thereof  
Filed: August 31, 2001  
(Atty Docket: A01072)

It is noted that the remaining above-listed patents and patent references (i.e., which are not asterisked) are being cited, concurrently herewith, in connection with one or both of the above-listed two co-pending commonly assigned U.S. patent applications.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. The Examiner may find the enclosed documents to be relevant to the invention of the present application.

No fees are believed to be due in connection with the submission of this Information Disclosure Statement, since it is believed that it is being submitted prior to the mailing date of a first Office Action on the merits for the present application. If, however, any such fees, including petition and extension fees, are due in connection with the submission of this Information Disclosure Statement, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 18-1850. In the meantime, please direct all future correspondence relating to the present application to the undersigned attorney.

Respectfully Submitted,

  
Marcella M. Bodner  
Attorney for Applicants  
Reg. No. 46,561  
Telephone (215) 592-3025

Date: Feb 14, 2003

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, Pa. 19106-2399



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Sheet 1 of 1

## LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty Docket No. A01073	Serial No. 09/944,289
Applicant Jane Elizabeth Weier et al.	
Filing Date August 31, 2001	Group 1714

### U.S. Patent Documents

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
AA	3,864,432	2/04/1975	Adler et al.	260/897B	11/22/1972
AB	3,793,402	2/19/1974	Owens	260/876R	11/05/1971
AC	3,971,835	7/27/1976	Myers et al.	260/876R	8/05/1974
AD	4,469,825	9/04/1984	Kowalski et al.	523/201	3/09/1983
AE	4,539,361	9/03/1985	Siol et al.	524/458	3/04/1985
AF	5,534,594	7/09/1996	Troy et al.	525/310	12/05/1994
AG	5,599,854	2/04/1997	Troy et al.	523/201	11/16/1995
AH	5,726,259	3/10/1998	Hayes et al.	526/80	7/12/1996
AI	4,440,905	4/03/1984	Dunkelberger	525/66	8/13/1981
AJ	5,276,092	1/04/1994	Kempner et al.	525/84	3/25/1993
AK	4,040,996	8/09/1977	Van Vonna	260/23 XA	3/09/1976
AL	4,385,152	5/24/1983	Boyack et al.	524/460	10/13/1981
AM	4,791,151	12/13/1988	Kowalski et al.	523/201	7/14/1986

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES/NO
✓	AN	EP 0 025 561	25.03.1981	Europe	C08F 259/04	No
✓	AO	EP 0 810 241	03.12.1997	Europe	C08F 259/04	No
✓	AP	EP 0 814 103	29.12.1997	Europe	C08F 291/00	No
✓	AQ	EP 0 826 702	04.03.1998	Europe	C08F 14/06	No
✓	AR	EP 1 033 390	06.09.2000	Europe	C08L 101/00	Yes
✓	AS	FR 2 309 569	26.11.1976	France	C08F 14/06	No
✓	AT	WO 01/48026	05.07.2001	PCT	C08F 2/16	No
✓	AS	DE 197 41 778	26.03.1998	Germany	C08L 27/06	No
✓	AV	EP 0 536 935	30.09.1992	Europe	C08F 285/00	Yes
✓	AW	EP 0 439 427	15.01.1991	Europe	C08K 5/00	No
✓	AX	EP 0 942 037	05.03.1999	Europe	C08K 5/00	No
✓	AY	DE 197 26 802	24.06.1997	Germany	C08L 27/18	No
✓	AZ	EP 0 343 833	16.05.1989	Europe	C08F 291/00	Yes
✓	BA	WO 94/06876	31.03.1994	PCT	C09D 163/00	Yes
✓	BB	AU-A-48232-96	21.03.1996	Australia	C07D 487-04	Yes



**ART (Including Author, Title, Date, Pertinent Patents, etc.)**

BC	
BD	

Examiner Date Considered

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformed to and not considered. Include copy of this form with next communication to applicant.

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